

MAY-25-2006 THU 12:28 PM WORKMAN NYDEGGER

FAX NO. 8013281707

P. 01

WORKMAN NYDEGGER

A PROFESSIONAL CORPORATION
ATTORNEYS AND COUNSELORS
1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
(801) 533-9800
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To: MISSING PARTS -- UNITED STATES PATENT AND TRADEMARK OFFICE

Fax No.: (571) 273-8300

No. of Pages: 21 (incl. this page)

From: Michael M. Ballard

Date: May 25, 2006

File No./Subject: **SECOND SUBMISSION OF RESPONSE TO NOTIFICATION OF
MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED
STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**
United States Patent Application
Applicant(s): Combe, et al.
Serial No.: 10/540,788
Filed: June 27, 2005
Title: SINGLE PIECE OPTICAL MECHANICAL ASSEMBLY FOR OPTICAL
DATA STORAGE ENGINES
Our File: 15584.11

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VIA FACSIMILE (571) 273-8300

PATENT APPLICATION
Docket No. 15584.11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)	
)	
Combe, et al.)	
)	
Serial No.:)	Art Unit
10/540,788)	3764
Filed:)	
June 27, 2005)	
Confirmation No.:)	
Not yet known)	
For:)	
SINGLE PIECE OPTICAL MECHANICAL ASSEMBLY)	
FOR OPTICAL DATA STORAGE ENGINES)	
Examiner:)	
Unassigned)	

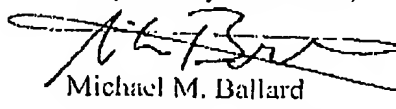
SECOND SUBMISSION OF RESPONSE TO NOTIFICATION OF MISSING
REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED
STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

VIA FACSIMILE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
Sir:

In response to the telephonic instructions received from Ms. Burt in the Office of PCT Operations on May 10, 2006, please find transmitted herewith the Response to Notification of Missing Requirements Under 35 U.S.C. in the United States Designated/Elected Office (DO/EO/US), which was originally transmitted via facsimile to (571) 273-8300 on March 3, 2006.

Dated this 25 day of May, 2006.

Respectfully submitted,



Michael M. Ballard
Attorney for Applicants
Registration No. 54,978
Customer No. 022913
Telephone No. (801) 533-9800

MMB/ALC

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Page 1 of 2

MAY 25 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22101-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/540,788	Tony Combe	15584.11

INTERNATIONAL APPLICATION NO.

PCT/GB03/05722

I.A. FILING DATE	PRIORITY DATE
12/24/2003	01/09/2003

22913

WORKMAN NYDEGGER
(F/K/A WORKMAN NYDEGGER & SEELEY)
60 EAST SOUTH TEMPLE
1000 EAGLE GATE TOWER
SALT LAKE CITY, UT 84111

CONFIRMATION NO. 7171

371 FORMALITIES LETTER

OC000000018601697

Date Mailed: 04/24/2006

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 06/27/2005
- Copy of the International Search Report filed on 06/27/2005
- Preliminary Amendments filed on 06/27/2005
- Oath or Declaration filed on 03/03/2006
- U.S. Basic National Fees filed on 06/27/2005
- Priority Documents filed on 06/27/2005

DOCKETED
Atty. DA File # 5411
By DA On 5/1/06
Action MISSING REQUIREMENTS
Response Due 5/25/06

Applicant's response filed 03/03/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 03/28/2006 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - The first page of the declaration is missing.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/540,788	PCT/GB03/05722	15584.11

FORM PC1/10/EO/916 (371 Form) (Notice)

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UNITED STATES DEPARTMENT OF COMMERCE
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www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/540,788	Tony Combe	15584.11

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(F/K/A WORKMAN NYDEGGER & SEELEY)
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1000 EAGLE GATE TOWER
SALT LAKE CITY, UT 84111

INTERNATIONAL APPLICATION NO.	
PC1/GB03/05722	
LA. FILING DATE	PRIORITY DATE
12/24/2003	01/09/2003

CONFIRMATION NO. 7171
371 FORMALITIES LETTER

OC000000017635204

Date Mailed: 12/15/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/27/2005
- Copy of the International Search Report filed on 06/27/2005
- Preliminary Amendments filed on 06/27/2005
- U.S. Basic National Fees filed on 06/27/2005
- Priority Documents filed on 06/27/2005

MISSING
9/21 2/25/06
12/20/05

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371.

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/540,788	PCT/GB03/05722	15584.11

FORM PCT/DO/EO/905 (3/1 Formalities Notice)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22311-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/540,788	Tony Combe	15584.11

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WORKMAN NYDEGGER
(F/K/A WORKMAN NYDEGGER & SEELEY)
60 EAST SOUTH TEMPLE
1000 EAGLE GATE TOWER
SALT LAKE CITY, UT 84111

INTERNATIONAL APPLICATION NO.

PCI/GB03/05722

I.A. FILING DATE

PRIORITY DATE

12/24/2003

01/09/2003

CONFIRMATION NO. 7171

371 FORMALITIES LETTER

110 0000000017635204

Date Mailed: 03/28/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/27/2005
- Copy of the International Search Report filed on 06/27/2005
- Preliminary Amendments filed on 06/27/2005
- U.S. Basic National Fees filed on 06/27/2005
- Priority Documents filed on 06/27/2005

DOCKETED
Atty. Del File # 33311
By 21m On 3/28/06
Action MES Yea
Response Due 4/28/06

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/540,788	PCT/GB03/05722	15584.11

FORM PCT/DO/EO/905 (371 Formalities Notice)

MAY 25 2006

PATENT APPLICATION
Docket No: 15584.11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Combe, et al.

Serial No.:

10/540,788

Filed:

June 27, 2005

Confirmation No.:

Not yet known

For:

SINGLE PIECE OPTICAL MECHANICAL ASSEMBLY
FOR OPTICAL DATA STORAGE ENGINES

Examiner:

Unassigned

Art Unit
3764Mail Stop: MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN
THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Sir:

In response to the Notification of Missing Requirements mailed December 15, 2005, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- ☒ A Declaration, Power of Attorney, and Petition executed by the inventors Tony D. Combe and David Shepherd.
- ☒ A copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)
- ☒ A Certificate of Deposit under 37 C.F.R. § 1.6.
- ☒ Credit Card Payment Form PTO-2038 in the amount of \$125.00 is enclosed to cover:
 - The _____ government filing fee.
 - ☒ The \$65.00 surcharge fee pursuant to 37 C.F.R. § 1.16(e).
 - ☒ The \$60.00 One-Month Extension of Time.

10/540,788
Page 2

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178.

☒ Any additional filing fees required under 37 C.F.R. § 1.16.

☒ Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

☒ Any patent application processing fees under 37 C.F.R. § 1.17.

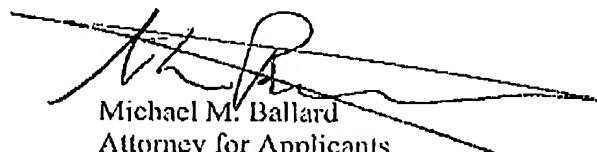
☐ The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

☒ A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 3 day of March, 2006.

Respectfully submitted,


Michael M. Ballard
Attorney for Applicants
Registration No. 54,978
Customer No. 022913
Telephone No. (801) 533-9800

MMB/lc
LC000002827V001

MAY 25 2006

PATENT APPLICATION

Docket No: 15584.11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

In re application of

Combe, et al.

Serial No.:

10/540,788

Art Unit

3764

Filed:

June 27, 2005

Confirmation No.:

Not yet known

For:

SINGLE PIECE OPTICAL MECHANICAL ASSEMBLY
FOR OPTICAL DATA STORAGE ENGINES

Examiner:

Unassigned

Mail Stop: MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN
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X The \$60.00 One-Month Extension of Time.

10/540,788

Page 2

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☒ Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

☒ Any patent application processing fees under 37 C.F.R. § 1.17.

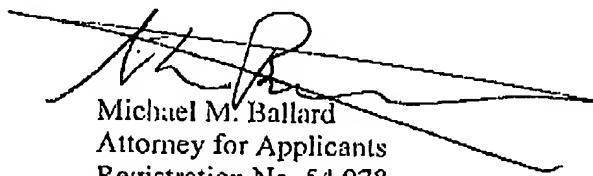
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☒ A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 3 day of March, 2006.

Respectfully submitted,



Michael M. Ballard
Attorney for Applicants
Registration No. 54,978
Customer No. 022913
Telephone No. (801) 533-9800

MMB/jrc
LCL000002827V001

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MAY 25 2006

PATENT APPLICATION

Docket No: 15584.11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: application of

Combe, et al.

Serial No.:

10/540,788

Filed:

June 27, 2005

Confirmation No.:

Not yet known

For:

SINGLE PIECE OPTICAL MECHANICAL ASSEMBLY
FOR OPTICAL DATA STORAGE ENGINES

Examiner:

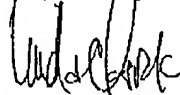
Unassigned

Art Unit
3764CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the following documents are being filed with the U.S. Patent and Trademark Office via facsimile to U.S. Patent and Trademark Office, facsimile number: (571) 273-8300 this 3rd day of March, 2006:

- Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (2 pgs.) (in duplicate)
- Declaration, Power of Attorney, and Petition (3 pgs.)
- Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (2 pgs.)
- Petition and Fee for One-Month Extension of Time (2 pages)
- Form PTO-2038 submitting Credit Card Payment in the amount of \$125.00 (Late Oath Surcharge \$65; One-Month Extension \$60)

Respectfully submitted,

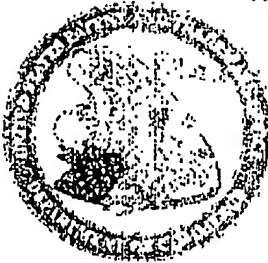


Linda Clark, Assistant to
Michael M. Ballard
Attorney for Applicants
Registration No. 54,978
Customer No. 022913
Telephone No. (801) 533-9800

MMB/lrc
100000028270001

USPTO 3/3/2006 6:25 PM PAGE 1/001 Fax Server
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 Page

WORKMAN NYDEGGER							
1000 E. 1000 S. SUITE 200 SALT LAKE CITY, UT 84143 (801) 581-1707 FAX (801) 581-1707							
FAX COVER PAGE							
TO: [REDACTED] AND TRADE MARK OFFICE MAIL ROOM							
FROM: [REDACTED]							
SUBJECT: [REDACTED] (1, including page)							
RE: [REDACTED]							
DATE: 3/3/2006							
RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS (37 CFR 1.54.2) IN THE UNITED STATES PATENT APPLICATION FOR [REDACTED]							
The following information is provided: Application No. 10/49,788 Filed: Aug. 2, 2003 Title: SINGLE-PIECE OPTICAL MECHANICAL ASSEMBLY FOR OPTICAL DATA STORAGE DEVICES							
Applicant: [REDACTED] Inventor: [REDACTED]							
PRIVILEGED AND CONFIDENTIAL							
This communication contains information that is confidential, proprietary, or otherwise confidential. It is to be used only for the purpose of the information provided above. If the reader of this communication is not the intended recipient, the reader should not disseminate, copy, or otherwise use this information. If you are not the intended recipient, please notify the sender immediately. If you are the intended recipient, please notify the sender immediately.							
IF YOU ARE NOT THE INTENDED RECIPIENT, PLEASE CALL (801) 581-6206 AS SOON AS POSSIBLE							
<table border="1"> <tr> <td>TO: [REDACTED]</td> <td>FROM: [REDACTED]</td> <td>DATE: [REDACTED]</td> </tr> <tr> <td>SUBJECT: [REDACTED]</td> <td>RE: [REDACTED]</td> <td>DATE: [REDACTED]</td> </tr> </table>		TO: [REDACTED]	FROM: [REDACTED]	DATE: [REDACTED]	SUBJECT: [REDACTED]	RE: [REDACTED]	DATE: [REDACTED]
TO: [REDACTED]	FROM: [REDACTED]	DATE: [REDACTED]					
SUBJECT: [REDACTED]	RE: [REDACTED]	DATE: [REDACTED]					

TRANSACTION REPORT

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NOTE

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